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Docket No.: SONY 3.0-034
(PATENT)

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11.15-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Maritzen et al.

Application No.: 10/015,070

Group Art Unit: 2161

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM OF CONDUCTING
NETWORK-BASED TRANSACTIONS

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the information listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

This Information Disclosure Statement is being filed before a first Office Action on the merits and, therefore, no fee is believed due. In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: November 1, 2002

Respectfully submitted,

By

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete If Known		
			Application Number	10/015,070	
			Filing Date	December 11, 2001	
			First Named Inventor	L. Michael Maritzen	
			Art Unit	2161	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-034

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	5,557,518-	09-17-1996	Rosen	
	AB	5,761,308-	06-02-1998	Torii et al.	
	AC	5,943,423-	08-24-1999	Muftic	
	AD	6,029,150-	02-22-2000	Kravitz	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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